

# State Representation Learning in Robotics: Using Prior Knowledge about Physical Interaction

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CS330 Student Presentation

# Background

State representation: a useful mapping from observations to features that can be acted upon by a policy

State representation learning (SRL) is typically done with the following learning objective categories:

- Compression of observations, i.e. dimensionality reduction<sup>1</sup>
- Temporal coherence<sup>2,3,4</sup>
- Predictive/predictable action transformations<sup>5,6,7</sup>
- Interleaving representation learning with reinforcement learning<sup>8</sup>
- Simultaneously learning the transition function<sup>9</sup>
- Simultaneously learning the transition and reward functions<sup>10, 11</sup>

# Motivation & Problem

Many robotics problems solved using reinforcement learning until recently with using **task-specific** priors, i.e. *feature engineering*.

Need for state representation learning:

- Engineered features tend to not generalize across tasks, which limits the usefulness of our agents
- Want to get states that adhere to real-world/robotic priors
- Want to act using raw image observations

# Robotic Priors

1. Simplicity: only a few world properties are relevant for a given task
  2. Temporal coherence: task-relevant properties *change gradually* through time
  3. Proportionality: change in task-relevant properties wrt action is proportional to magnitude of action
  4. Causality: task-relevant properties with the action determine the reward
  5. Repeatability: actions in similar situations have similar consequences
- Priors are defined using reasonable limitations applying to the physical world

# Methods

# Robotic Representation Setting: RL

Jonschkowski and Brock (2014)

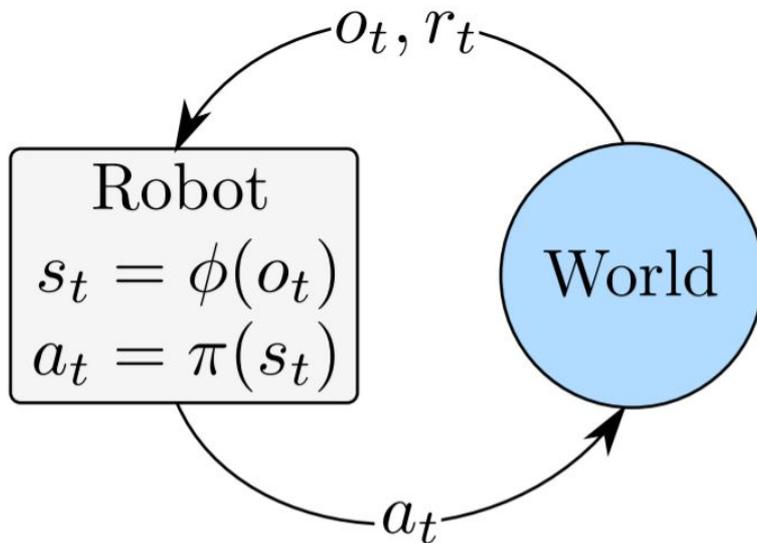
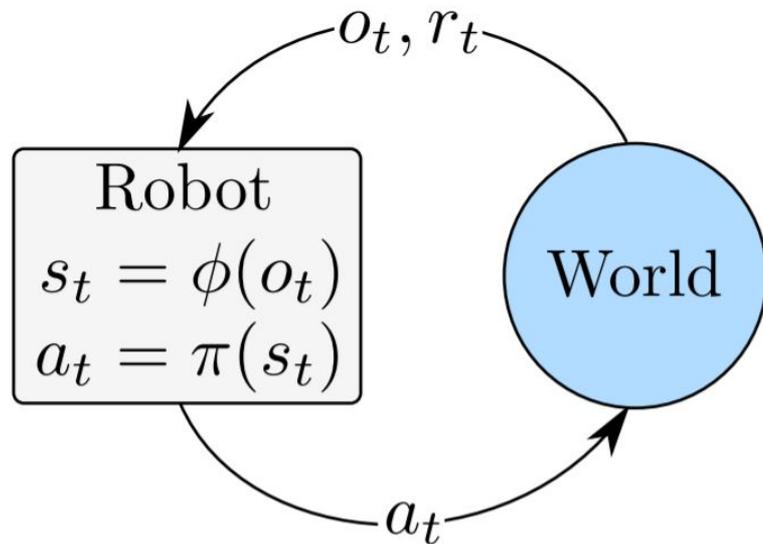


Fig. 2. The robot-world-interaction. At time  $t$ , the robot computes the state  $s_t$  from its observation  $o_t$  using observation-state-mapping  $\phi$ . It chooses action  $a_t$  according to policy  $\pi$  with the goal to maximize future rewards  $r_{t+1:\infty}$ .

# Robotic Representation Setting: RL

- State representation:  $s_t = \phi(o_t)$ 
  - Linear state mapping
  - Learned intrinsically from robotic priors
  - Full observability assumed
- Policy:  $\pi(s_t) = a_t$ 
  - Learned on top of representation  $s_t$
  - Two FC layers with sigmoidal activations
  - RL method: Neural-fitted Q-iteration (Riedmiller, 2005)



Jonschkowski and Brock (2010)

# Robotic Priors

$$L(D, \hat{\phi}) = L_{\text{temporal coherence}}(D, \hat{\phi}) + L_{\text{proportionality}}(D, \hat{\phi}) \\ + L_{\text{causality}}(D, \hat{\phi}) + L_{\text{repeatability}}(D, \hat{\phi}) .$$

Data set  $D$  obtained from random exploration

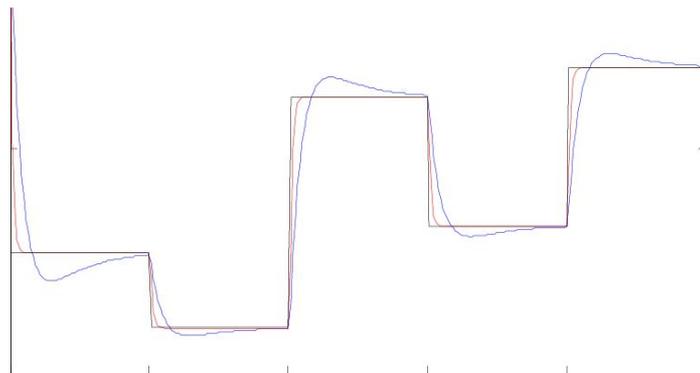
Learns state encoder:  $\hat{\phi}(o_t) = s_t$

Simplicity prior implicit in compressing observation to lower dimensional space

# Robotic Priors: Temporal Coherence

$$L_{\text{temporal coherence}}(D, \hat{\phi}) = \mathbf{E} \left[ \|\Delta \hat{s}_t\|^2 \right]$$

- Enforces finite state “velocity”:  $\Delta \hat{s}_t = \hat{s}_{t+1} - \hat{s}_t$ 
  - Smoothing effect
- i.e. represents state continuity
  - Intuition: physical objects cannot move from A to B in zero time
  - Newton’s First Law: Inertia



# Robotic Priors: Proportionality

$$L_{\text{proportionality}}(D, \hat{\phi}) = \mathbf{E} \left[ (\|\Delta \hat{\mathbf{s}}_{t_2}\| - \|\Delta \hat{\mathbf{s}}_{t_1}\|)^2 \mid a_{t_1} = a_{t_2} \right]$$

- Enforces proportional responses to inputs
  - Similar actions at different times, similar magnitude of changes
  - Intuition: push harder, go faster
  - Newton's Second Law:  $F = ma$
- Computational limitations:
  - Cannot compare all  $O(N^2)$  pairs of prior states
  - Instead only compare states  $K$  time steps apart
  - Also,  $\pi_{\text{explore}}(\mathbf{s}_t) = \pi_{\text{explore}}(\mathbf{s}_{t+k})$  for more proportional responses in data

# Robotic Priors: Causality

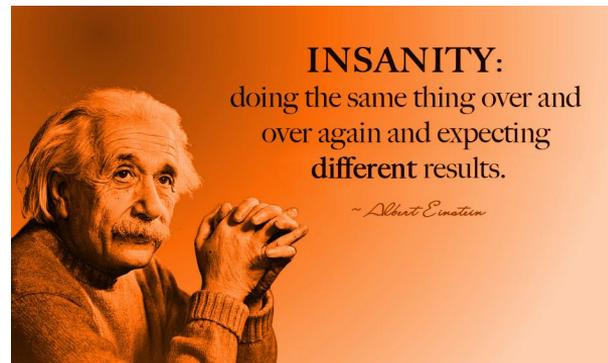
$$L_{\text{causality}}(D, \hat{\phi}) = \mathbf{E} \left[ e^{-\|\hat{s}_{t_2} - \hat{s}_{t_1}\|} \mid a_{t_1} = a_{t_2}, r_{t_1+1} \neq r_{t_2+1} \right]$$

- Enforces state differentiation for different rewards
  - Similar actions at different times, but different rewards  $\rightarrow$  different states
  - Same computational limitations

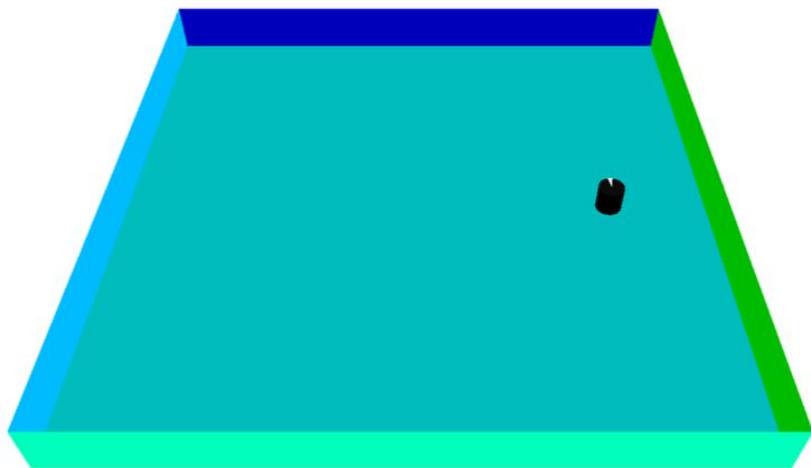
# Robotic Priors: Repeatability

$$L_{\text{repeat.}}(D, \hat{\phi}) = \mathbf{E} \left[ e^{-\|\hat{s}_{t_2} - \hat{s}_{t_1}\|} \|\Delta \hat{s}_{t_2} - \Delta \hat{s}_{t_1}\|^2 \mid a_{t_1} = a_{t_2} \right]$$

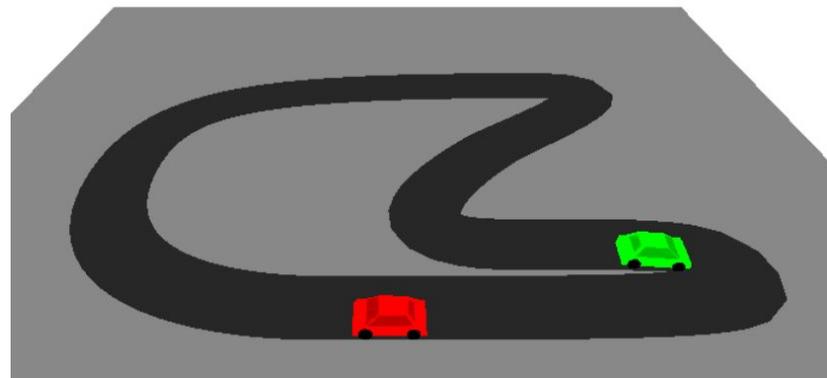
- Closer states should have similar reactions for same action at different times
  - Another form of coherence across time
  - If there are different reactions to same action from similar states, separate states more
  - Assumes determinism with full observability



# Experiments

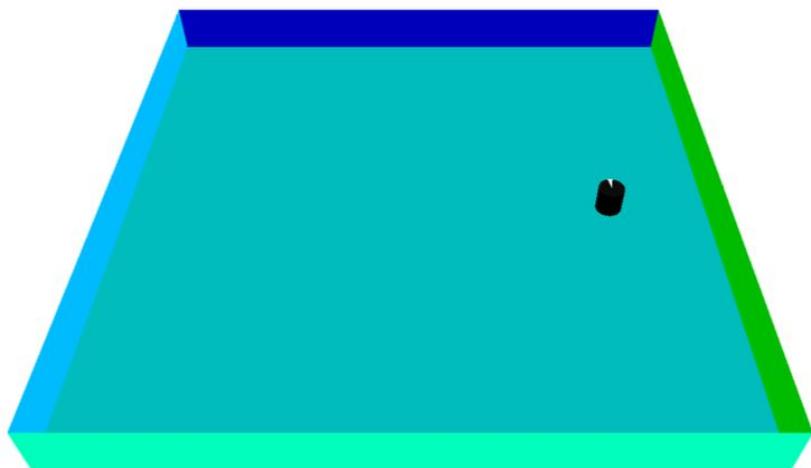


Robot Navigation



Slot Car Racing

# Experiments: Robot Navigation



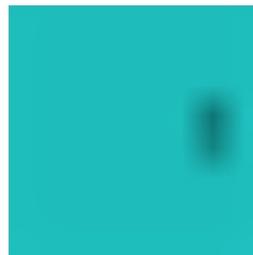
Robot Navigation

**State:**

(x,y)

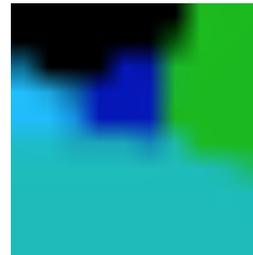
**Observation:**

10x10 RGB (Downsampled)



Top-Down

OR



Egocentric

**Action:**

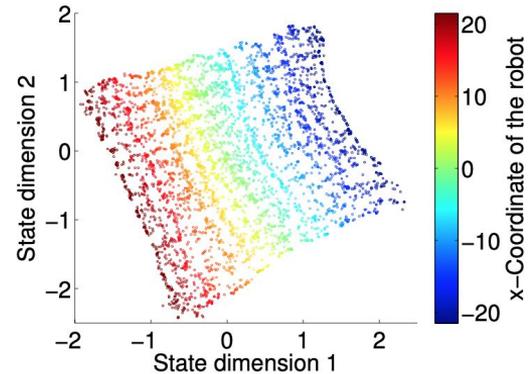
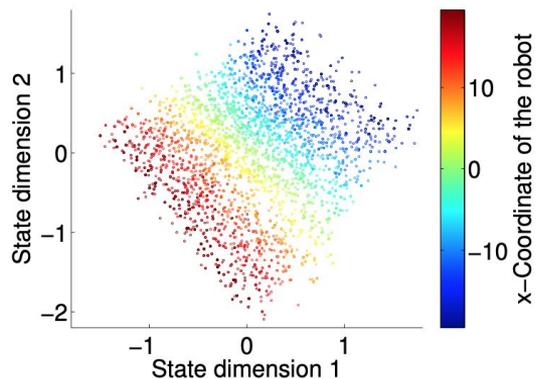
(Up, Right) Velocities  $\in [-6, -3, 0, 3,$

**Reward:**

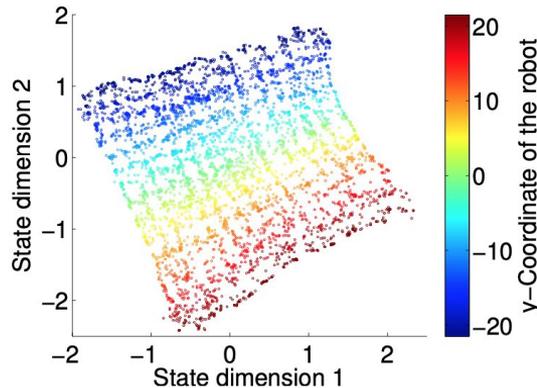
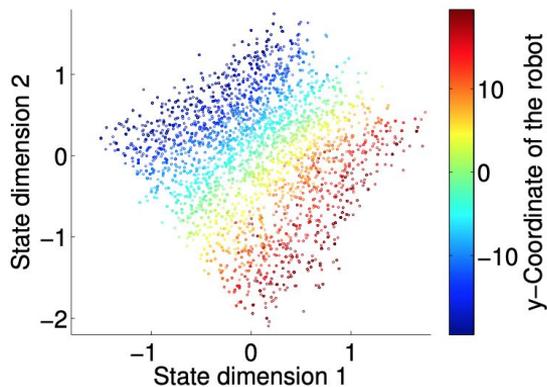
+10 for goal corner, -1 for hitting wall

# Learned States for Robot Navigation

$x_{gt}$



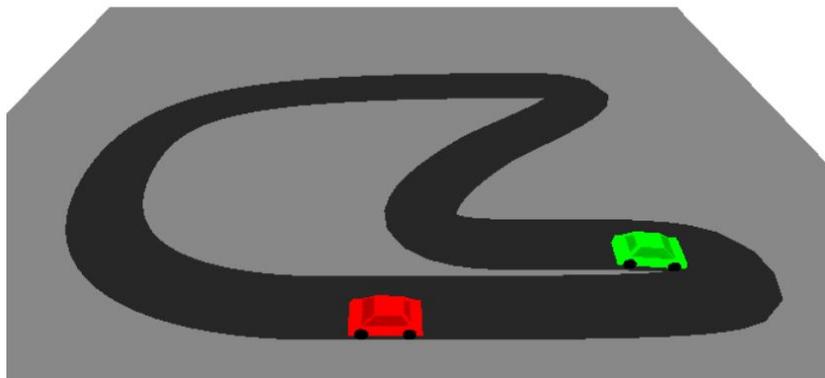
$y_{gt}$



Top-Down View

Egocentric View

# Experiments: Slot Car Racing



Slot Car Racing

**State:**

$\Theta$  (Red car only)

**Observation:**

10x10 RGB (Downsampled)



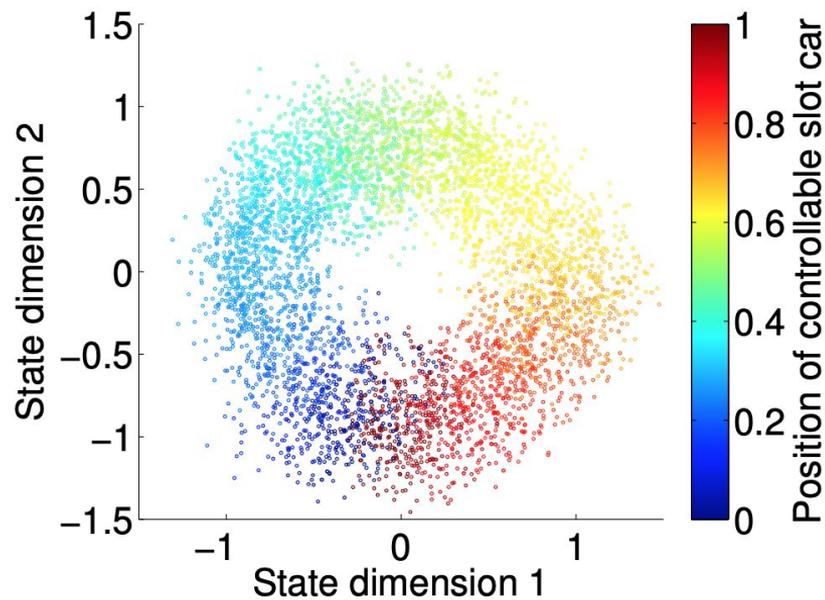
**Action:**

Velocity  $\in$  [.01, .02, ..., 0.1]

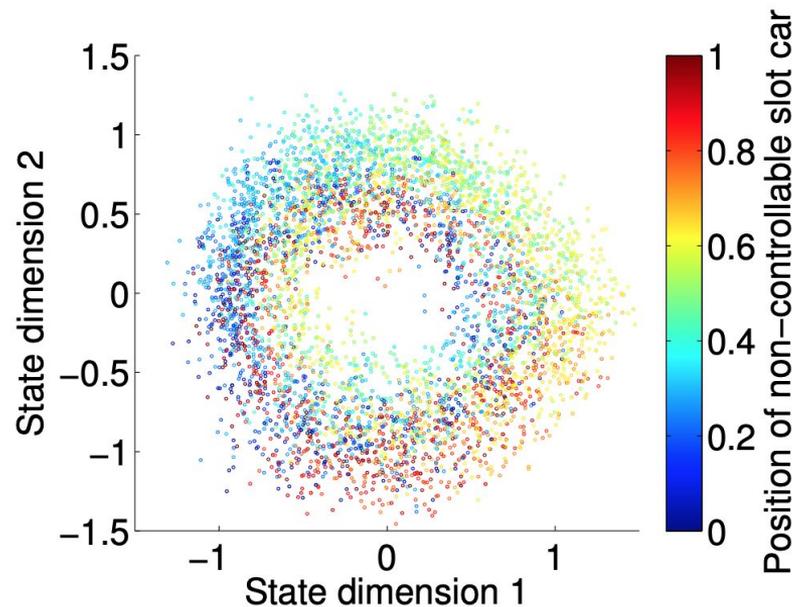
**Reward:**

Velocity, or -10 for flying off a sharp turn

# Learned States for Slot Car Racing



Red (Controllable) Car



Green (Non-Controllable) Car

# Reinforcement Learning Task: Extended Navigation

**State:**

$(x, y, \theta)$

**Observation:**

10x10 RGB (Downsampled)



Egocentric

**Action:**

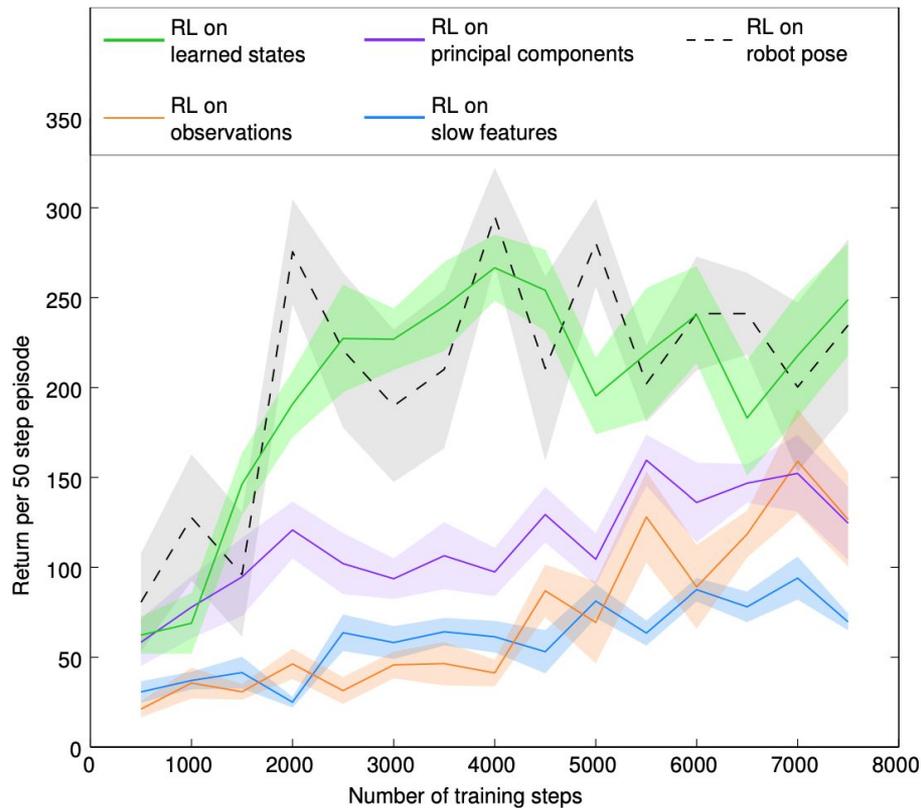
Translational Velocity  $\in [-6, -3, 0, 3, 6]$

Rotational Velocity  $\in [-30, -15, 0, 15, 30]$

**Reward:**

+10 for goal corner, -1 for hitting wall

# RL for Extended Navigation Results



# Takeaways

- State representation is an inherent sub-challenge in learning for robotics
- General priors can be useful in learning generalizable representations
- Physical environments have physical priors
- Many physical priors can be encoded in simple loss terms

# Strengths and Weaknesses

## Strengths:

- Well-written and organized
  - Provides a good summary of related works
- Motivates intuition behind everything
- Extensive experiments (within the tasks)
- Rigorous baselines for comparison

## Weaknesses:

- Experiments are limited to toy tasks
  - No real robot experiments
- Only looks at tasks with slow-changing relevant features
- Fully-observable environments
- Does not evaluate on new tasks to show feature generalization
- Lacks ablative analysis on loss

# Discussion

- Is a good representation sufficient for sample efficient reinforcement learning?
  - A. No, in worst case, it is still lower-bounded by exploration time exponential in time horizon
  - This is even true in the case where  $Q^*$  or  $\pi^*$  is a linear mapping of states
- Does this mean SRL or RL is useless?
  - Not necessarily:
    - Unknown  $r(s, a)$  is what makes problem difficult
    - Most feature extractors induce a “hard MDP” instance
    - If data distribution fixed, can achieve polynomial upper bound in sample complexity
- For efficient value-based learning, are there necessary assumptions in reward distribution structure necessary for efficient learning?
  - What are types of reward functions or policies that could impose this structure?
- What are some important tasks that are counterexamples to these priors?

# References

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- <sup>2</sup> Legenstein, Robert, Niko Wilbert, and Laurenz Wiskott. "Reinforcement learning on slow features of high-dimensional input streams." *PLoS computational biology* 6.8 (2010): e1000894.
- <sup>3</sup> Höfer, Sebastian, Manfred Hild, and Matthias Kubisch. "Using slow feature analysis to extract behavioural manifolds related to humanoid robot postures." *Tenth International Conference on Epigenetic Robotics*. 2010.
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- <sup>9</sup> Jonschkowski, Rico, and Oliver Brock. "Learning task-specific state representations by maximizing slowness and predictability." *6th international workshop on evolutionary and reinforcement learning for autonomous robot systems (ERLARS)*. 2013.
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# Priors

- **Simplicity:** For a given task, only a small number of world properties are relevant
- **Temporal Coherence:** Task-relevant properties of the world change gradually over time
- **Proportionality:** The amount of change in task-relevant properties resulting from an action is proportional to the magnitude of the action
- **Causality:** The task-relevant properties together with the action determine the reward
- **Repeatability:** The task-relevant properties and the action together determine the resulting change in these properties

# Regression on Learned States

