### Black-Box Meta-Learning & In-Context Learning

CS 330

# Logistics

Project group form due Wednesday Oct 11 (Used for assigning TA mentors)

Homework 1 due Monday October 16

### Following up on high-resolution feedback:

- Unfortunately not enough course staff for live remote questions this year (but feel free to ask on Ed!)
- I'll work on clarifying some terminology (e.g. "task")

### Meta-Learning

- Problem formulation
- General recipe of meta-learning algorithms
- Black-box adaptation approaches
- Case study of GPT-3

Topic of Homework 1!

### Goals for by the end of lecture:

- Training set-up for few-shot meta-learning algorithms
- How to implement black-box meta-learning techniques

### Meta-Learning

- Problem formulation
- General recipe of meta-learning algorithms
- Black-box adaptation approaches
- Case study of GPT-3

#### Meta-Learning Problem

Transfer Learning with Many Source Tasks

Given data from  $\mathcal{T}_1,...,\mathcal{T}_n$  , solve new task  $\mathcal{T}_{\text{test}}$  more quickly / proficiently / stably

Key assumption: meta-training tasks and meta-test task drawn i.i.d. from same task distribution

$$\mathcal{I}_1, ..., \mathcal{I}_n \sim p(\mathcal{I}), \mathcal{I}_{\text{test}} \sim p(\mathcal{I})$$

Like before, tasks must share structure.

#### What do the tasks correspond to?

- recognizing handwritten digits from different languages (see homework 1!)
- giving feedback to students on different exams
- classifying species in different regions of the world
- a robot performing different tasks



How many tasks do you need?

The more the better.

(analogous to more data in ML)

### Two ways to view meta-learning algorithms

#### Mechanistic view

- Deep network that can read in an entire dataset and make predictions for new datapoints
- Training this network uses a meta-dataset, which itself consists of many datasets, each for a different task

#### Probabilistic view

- Extract prior knowledge from a set of tasks that allows efficient learning of new tasks
- Learning a new task uses this prior and (small) training set to infer most likely posterior parameters

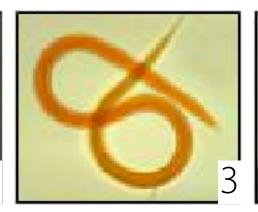
### How does meta-learning work? An example.

#### Given 1 example of 5 classes:











training data  $\mathcal{D}_{ ext{train}}$ 

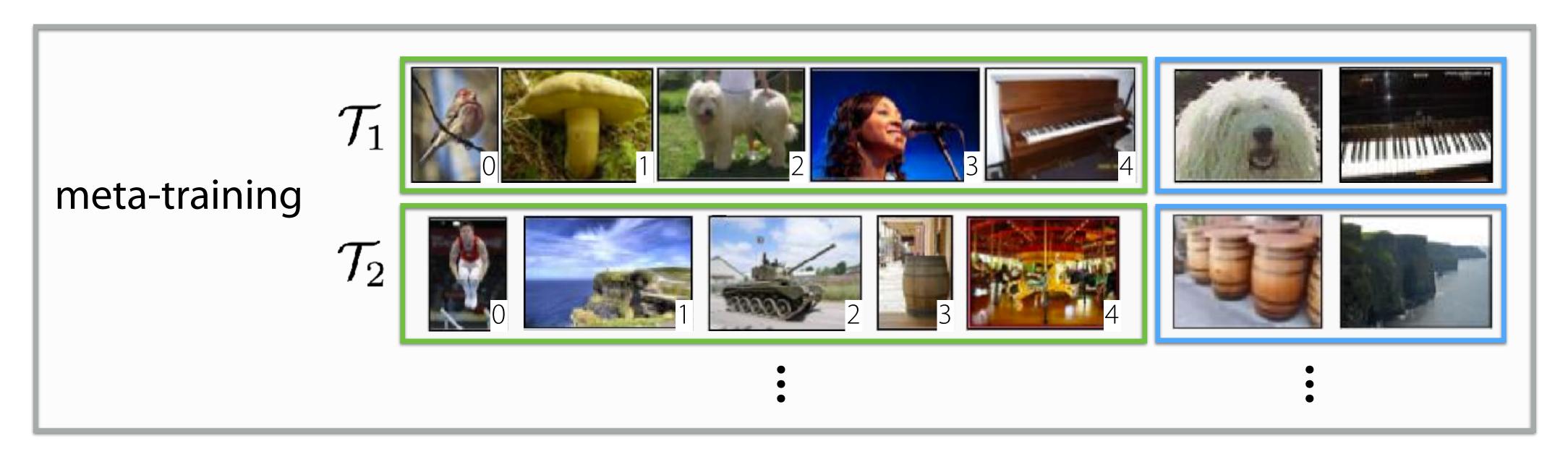
#### Classify new examples





test set  $\mathbf{x}_{test}$ 

How does meta-learning work? An example.



training classes

Given 1 example of 5 classes:

Classify new examples

meta-testing  $\mathcal{T}_{ ext{test}}$  with  $\mathcal{T}_{ ext{test}}$  and  $\mathcal{T}_{ ext{train}}$  and  $\mathcal{T}_{ ext{train}}$ 

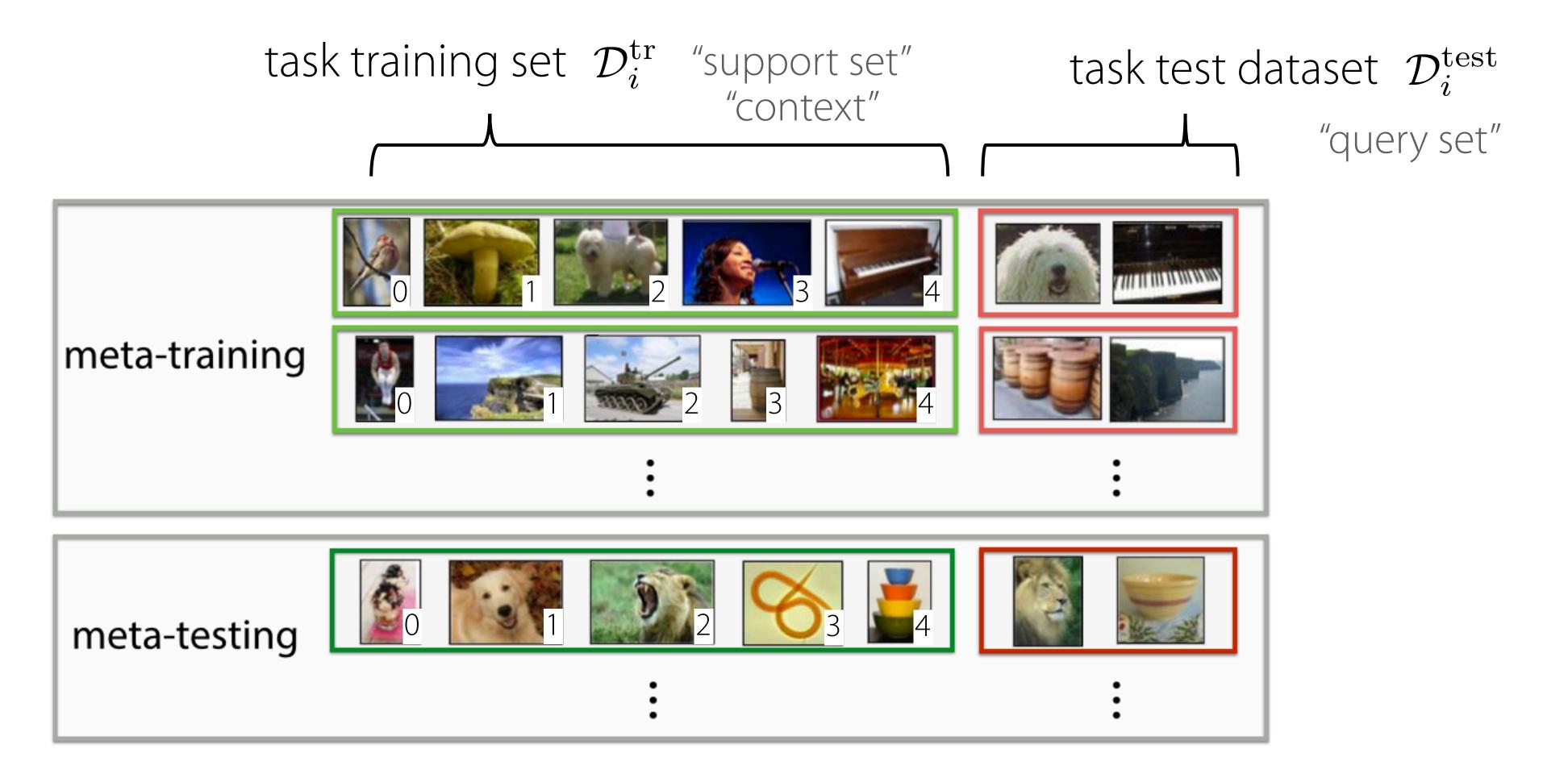


test set  $\mathbf{x}_{test}$ 

Can replace image classification with: regression, language generation, skill learning,

any ML problem

#### Some terminology



**k-shot learning**: learning with **k** examples per class (or **k** examples total for regression)

N-way classification: choosing between N classes

### Meta-Learning

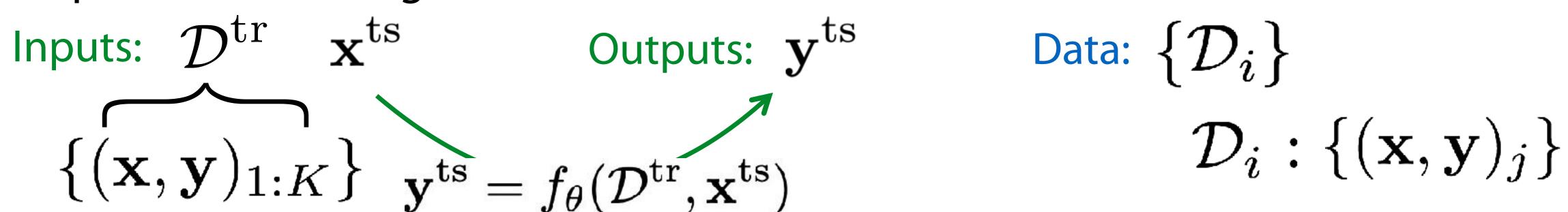
- Problem formulation
- General recipe of meta-learning algorithms
- Black-box adaptation approaches
- Case study of GPT-3 (time-permitting)

### One View on the Meta-Learning Problem

### Supervised Learning:

Inputs: 
$$\mathbf{X}$$
 Outputs:  $\mathbf{Y}$  Data:  $\{(\mathbf{x},\mathbf{y})_i\}$   $\mathbf{y} = g_{\phi}(\mathbf{x})$ 

#### Meta Supervised Learning:



#### Why is this view useful?

Reduces the meta-learning problem to the design & optimization of f.

Finn. Learning to Learn with Gradients. PhD Thesis. 2018

## General recipe

#### How to design a meta-learning algorithm

- 1. Choose a form of  $f_{ heta}(\mathcal{D}^{\mathrm{tr}},\mathbf{x}^{\mathrm{ts}})$
- 2. Choose how to optimize  $\theta$  w.r.t. max-likelihood objective using meta-training data

meta-parameters

#### Meta-Learning

- Problem formulation
- General recipe of meta-learning algorithms
- Black-box adaptation approaches
- Case study of GPT-3 (time-permitting)

### Running example

Omniglot dataset Lake et al. Science 2015

1623 characters from 50 different alphabets

 Hebrew
 Bengali
 Greek

 切りコフコ
 第2列両はです家
 4 L B S L

 サドマカロ
 3 事れ回るとす
 4 L B S L

 サドマカロ
 9 年年日

 サアカス
 1 日 B S L

 サドマカロ
 1 日 B S L

 サドマカロ
 1 日 B S L

 サイス
 1 日 B S L

many classes, few examples
the "transpose" of MNIST
statistics more reflective
of the real world

20 instances of each character

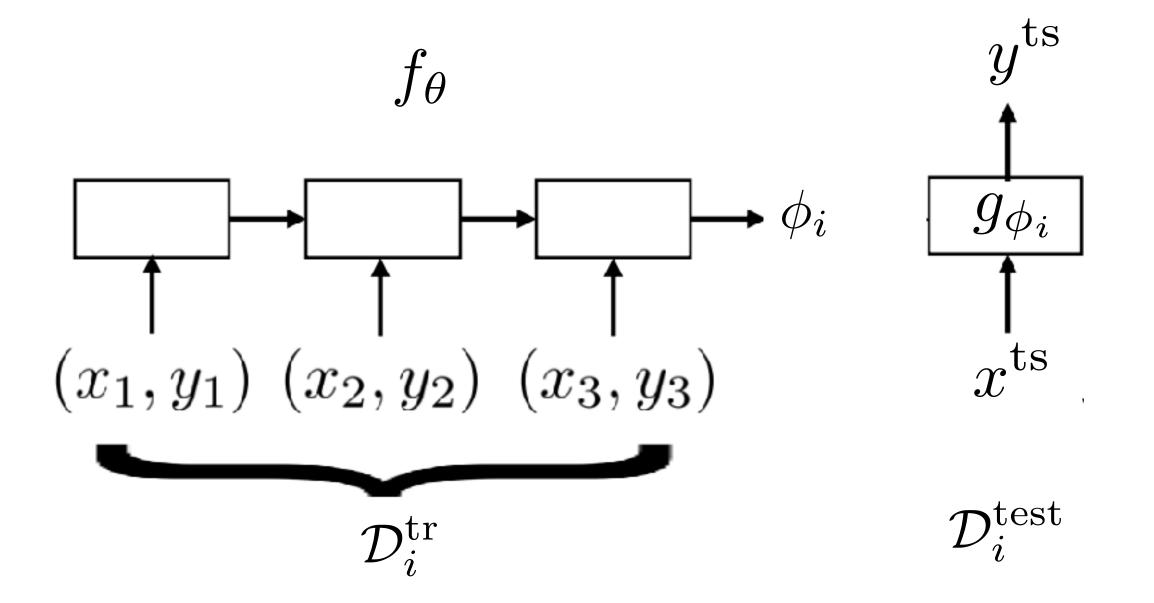
\*whiteboard\*

Futurama

More few-shot image recognition datasets: tieredImageNet, CIFAR, CUB, CelebA, ORBIT, others

More benchmarks: molecular property prediction (Ngyugen et al. '20), object pose prediction (Yin et al. ICLR '20), channel coding (Li et al. '21)

**Key idea:** Train a neural network to represent  $\phi_i = f_{\theta}(\mathcal{D}_i^{\mathrm{tr}})$  "learner" Predict test points with  $\mathbf{y}^{\mathrm{ts}} = g_{\phi_i}(\mathbf{x}^{\mathrm{ts}})$ 



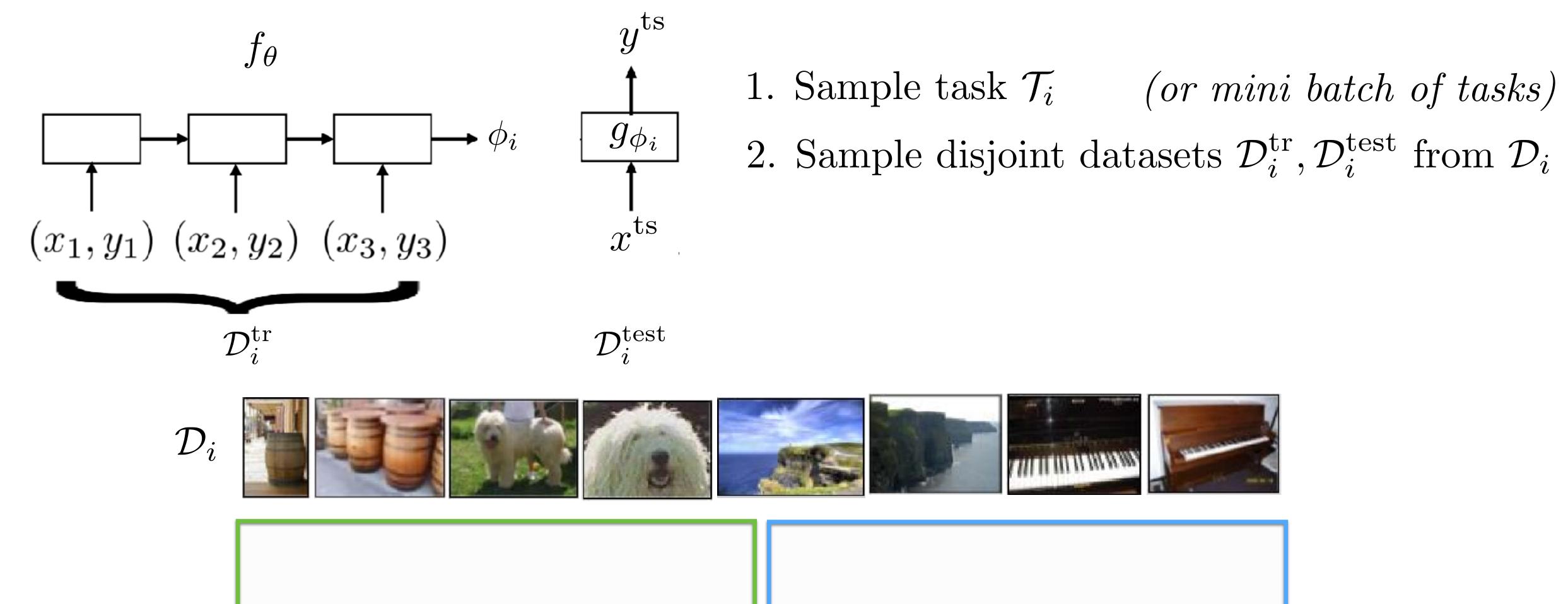
Train with standard supervised learning!

$$\min_{\theta} \sum_{\mathcal{T}_{i}} \sum_{(x,y) \sim \mathcal{D}_{i}^{\text{test}}} -\log g_{\phi_{i}}(y \mid x)$$

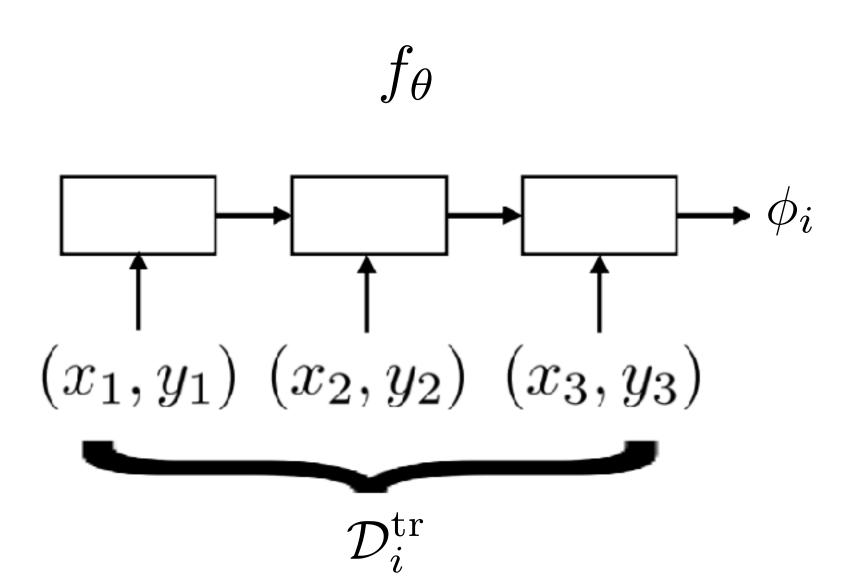
$$\mathcal{L}(\phi_{i}, \mathcal{D}_{i}^{\text{test}})$$

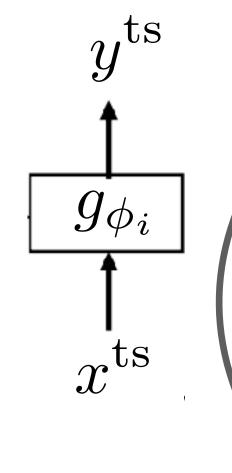
$$\min_{\theta} \sum_{\mathcal{T}_{i}} \mathcal{L}(f_{\theta}(\mathcal{D}_{i}^{\text{tr}}), \mathcal{D}_{i}^{\text{ts}})$$

**Key idea:** Train a neural network to represent  $\phi_i = f_{\theta}(\mathcal{D}_i^{\mathrm{tr}})$ .

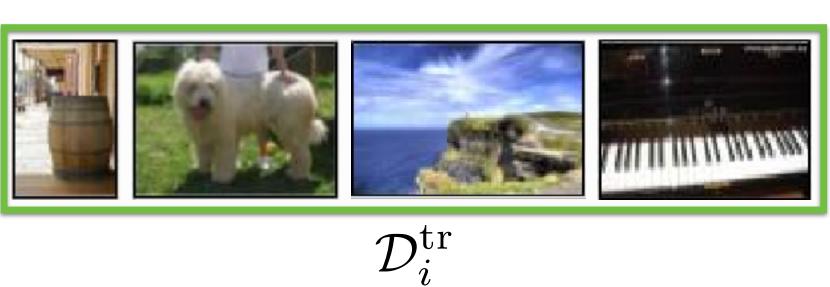


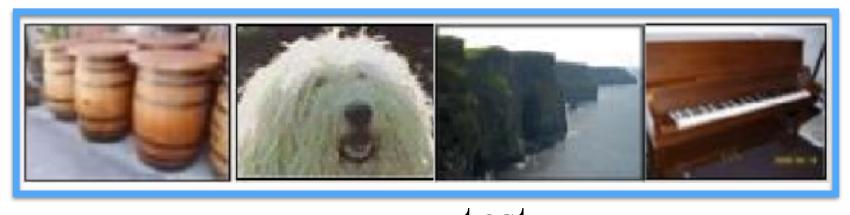
**Key idea:** Train a neural network to represent  $\phi_i = f_{\theta}(\mathcal{D}_i^{\mathrm{tr}})$ .





- 1. Sample task  $\mathcal{T}_i$  (or mini batch of tasks)
  2. Sample disjoint datasets  $\mathcal{D}_i^{\mathrm{tr}}, \mathcal{D}_i^{\mathrm{test}}$  from  $\mathcal{D}_i$
- $x^{\mathrm{ts}}$  3. Compute  $\phi_i \leftarrow f_{\theta}(\mathcal{D}_i^{\mathrm{tr}})$ 4. Update  $\theta$  using  $\nabla_{\theta} \mathcal{L}(\phi_i, \mathcal{D}_i^{\mathrm{test}})$





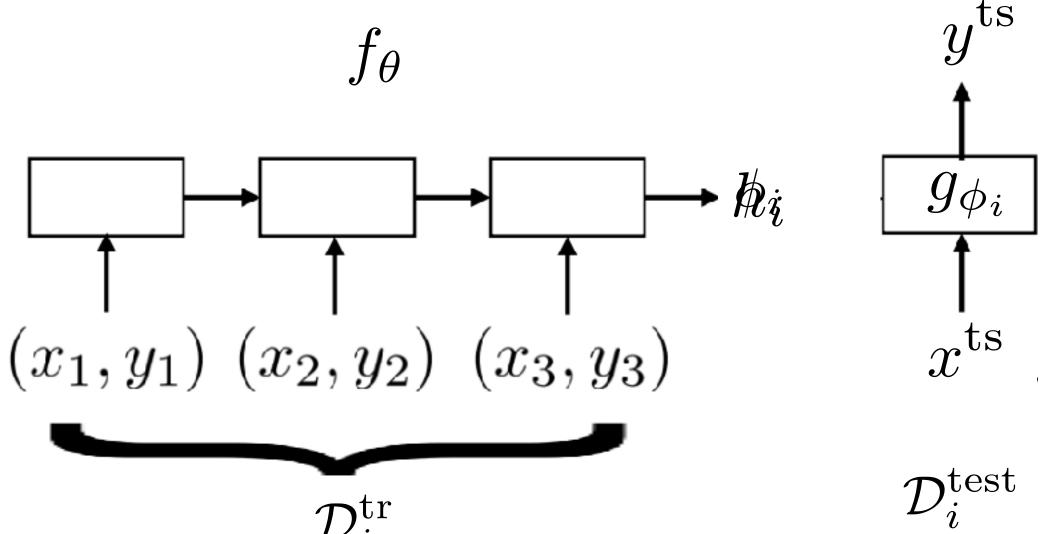
 $\mathcal{D}_i^{ ext{test}}$ 

**Key idea:** Train a neural network to represent  $\phi_i = f_{\theta}(\mathcal{D}_i^{\mathrm{tr}})$ .

#### Challenge

Outputting all neural net parameters does not seem scalable?

**Idea**: Do not need to output **all** parameters of neural net, only sufficient statistics

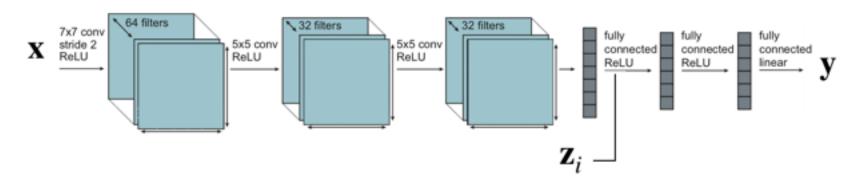


(Santoro et al. MANN, Mishra et al. SNAIL)

low-dimensional vector  $\,h_i\,$  represents contextual task information

$$\phi_i = \{h_i, \theta_g\}$$

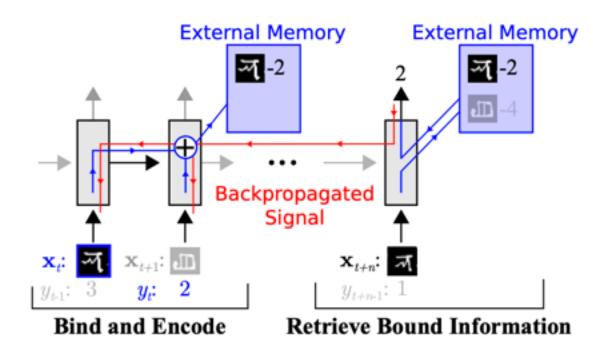
recall:



general form:  $y^{\mathrm{ts}} = f_{\theta}(\mathcal{D}_{i}^{\mathrm{tr}}, x^{\mathrm{ts}})$ 

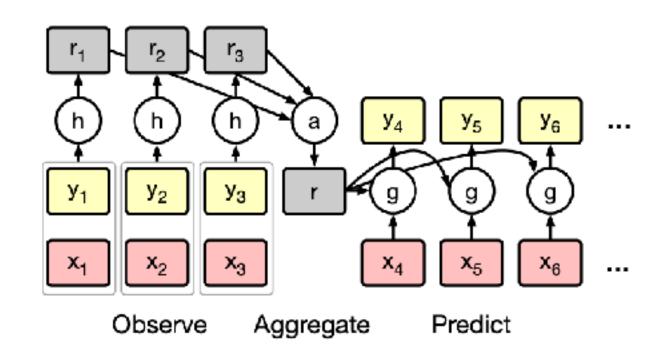
### Black-Box Adaptation Architectures

LSTMs or Neural turing machine (NTM)



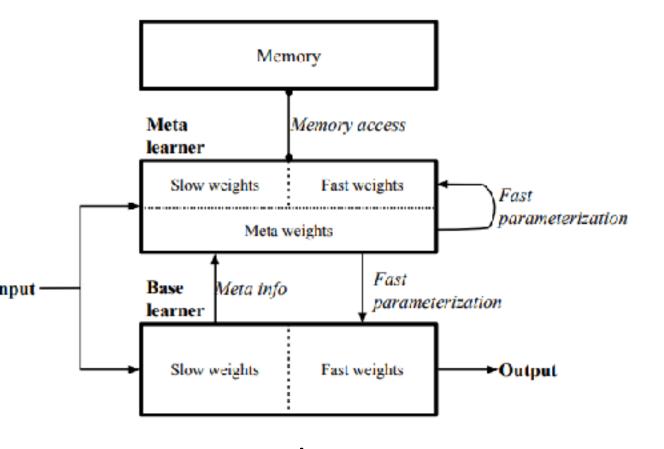
Meta-Learning with Memory-Augmented Neural Networks Santoro, Bartunov, Botvinick, Wierstra, Lillicrap. ICML '16

#### Feedforward + average



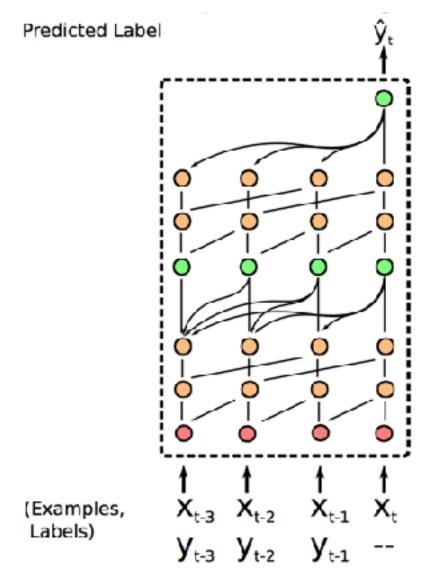
Conditional Neural Processes. Garnelo, Rosenbaum, Maddison, Ramalho, Saxton, Shanahan, Teh, Rezende, Eslami. ICML '18

Other external memory mechanisms



Meta Networks Munkhdalai, Yu. ICML '17

#### Convolutions & attention

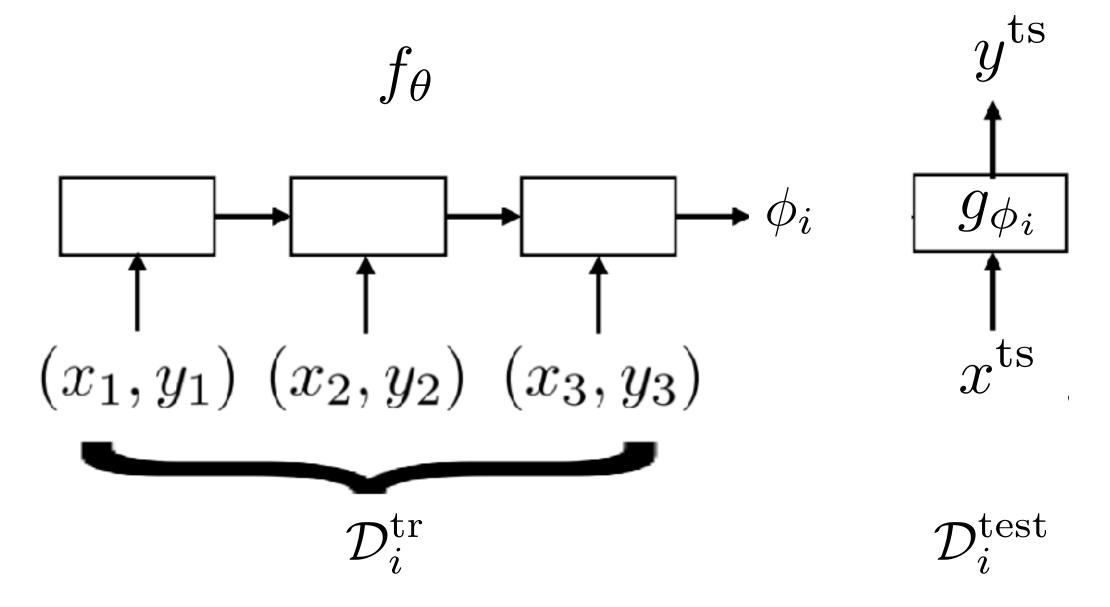


A Simple Neural Attentive Meta-Learner Mishra, Rohaninejad, Chen, Abbeel. ICLR '18



Question: Why might feedforward+average be better than a recurrent model?

**Key idea:** Train a neural network to represent  $\phi_i = f_{\theta}(\mathcal{D}_i^{\mathrm{tr}})$ .



+ expressive

+ easy to combine with variety of learning problems (e.g. SL, RL)

- complex model w/ complex task:
   challenging optimization problem
- often data-inefficient

How else can we represent  $\phi_i = f_{\theta}(\mathcal{D}_i^{\mathrm{tr}})$ ?

Next time (Monday): What if we treat it as an optimization procedure?

#### Meta-Learning

- Problem formulation
- General recipe of meta-learning algorithms
- Black-box adaptation approaches
- Case study of GPT-3

### Case Study: GPT-3

#### Language Models are Few-Shot Learners

Tom B. Brown\* Benjamin Mann\* Nick Ryder\* Melanie Subbiah\* Jared Kaplan<sup>†</sup> Prafulla Dhariwal Arvind Neelakantan **Pranav Shyam** Girish Sastry Ariel Herbert-Voss Amanda Askell Sandhini Agarwal Gretchen Krueger Tom Henighan Rewon Child Aditya Ramesh **Clemens Winter** Daniel M. Ziegler Jeffrey Wu **Christopher Hesse** Mark Chen Eric Sigler Mateusz Litwin Scott Gray Benjamin Chess Jack Clark **Christopher Berner** Sam McCandlish Alec Radford Ilya Sutskever Dario Amodei

OpenAI

May 2020

"emergent" few-shot learning

### What is GPT-3?

a language model

black-box meta-learner trained on language generation tasks

 $\mathscr{D}_i^{ ext{tr}}$ : sequence of characters  $\mathscr{D}_i^{ ext{ts}}$ : the following sequence of characters

[meta-training] dataset: crawled data from the internet, English-language Wikipedia, two books corpora architecture: giant "Transformer" network 175 billion parameters, 96 layers, 3.2M batch size

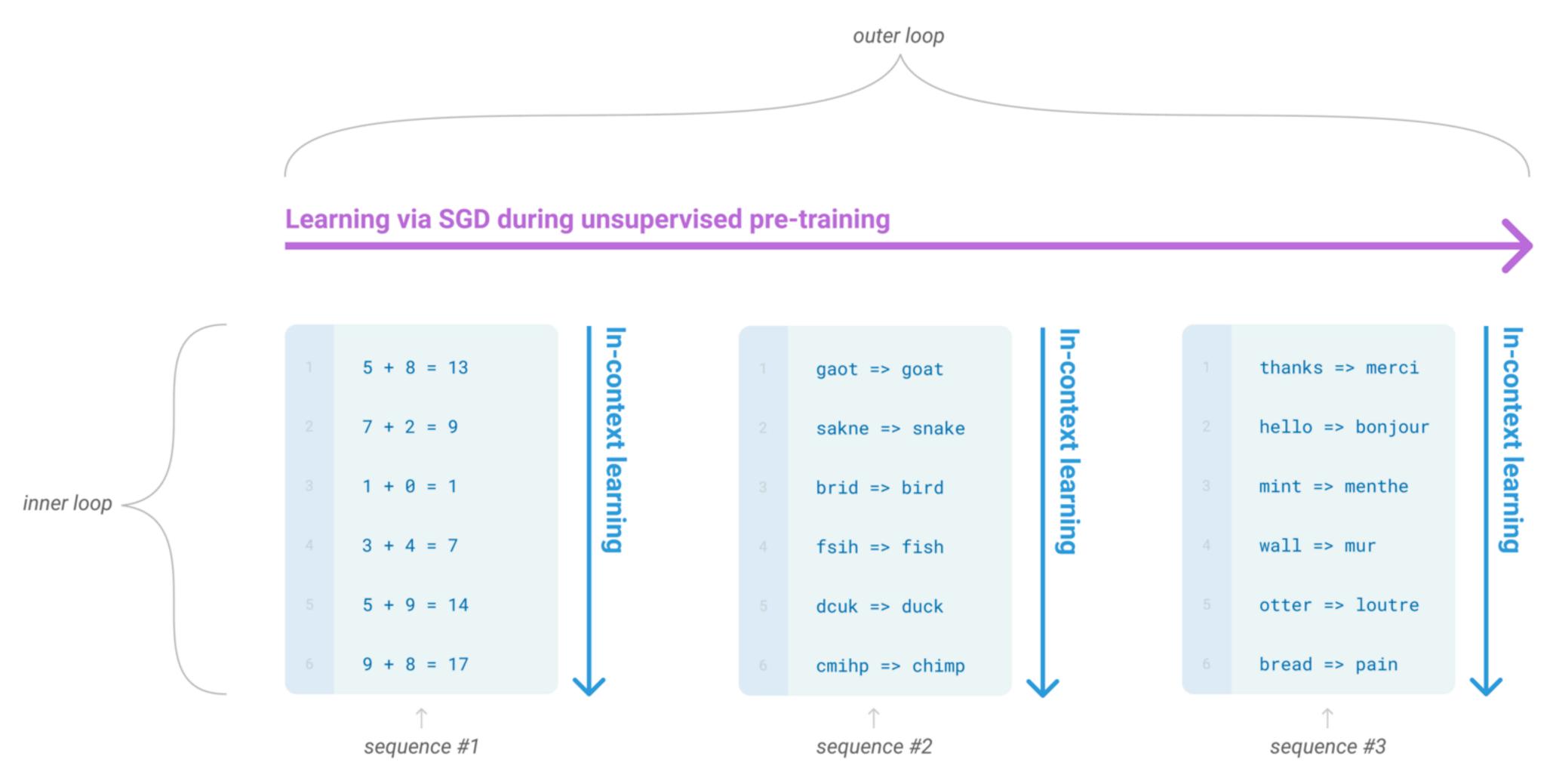
What do different tasks correspond to?

spelling correction simple math problems translating between languages a variety of other tasks

How can those tasks all be solved by a single architecture?

How can those tasks all be solved by a single architecture? Put them all in the form of text!

Why is that a good idea? Very easy to get a lot of meta-training data.



simple math problems

spelling correction

translating between languages

### Some Results

One-shot learning from dictionary definitions:

Few-shot language editing:

Non-few-shot learning tasks:

To "screeg" something is to swing a sword at it. An example of a sentence that uses the word screeg is:

We screeghed at each other for several minutes and then we went outside and ate ice cream.

Poor English input: I eated the purple berries.

Good English output: I ate the purple berries.

Poor English input: Thank you for picking me as your designer. I'd appreciate it. Good English output: Thank you for choosing me as your designer. I appreciate it. Poor English input: The mentioned changes have done. or I did the alteration that you

requested. or I changed things you wanted and did the modifications.

Good English output: The requested changes have been made. or I made the alteration that you

requested. or I changed things you wanted and made the modifications.

Poor English input: I'd be more than happy to work with you in another project.

Good English output: I'd be more than happy to work with you on another project.

Poor English input: Please provide me with a short brief of the design you're looking for and that'd be nice if you could share some examples or project you did before.

Good English output: Please provide me with a brief description of the design you're looking for and that would be nice if you could share some examples or projects you have done before.

Title: United Methodists Agree to Historic Split

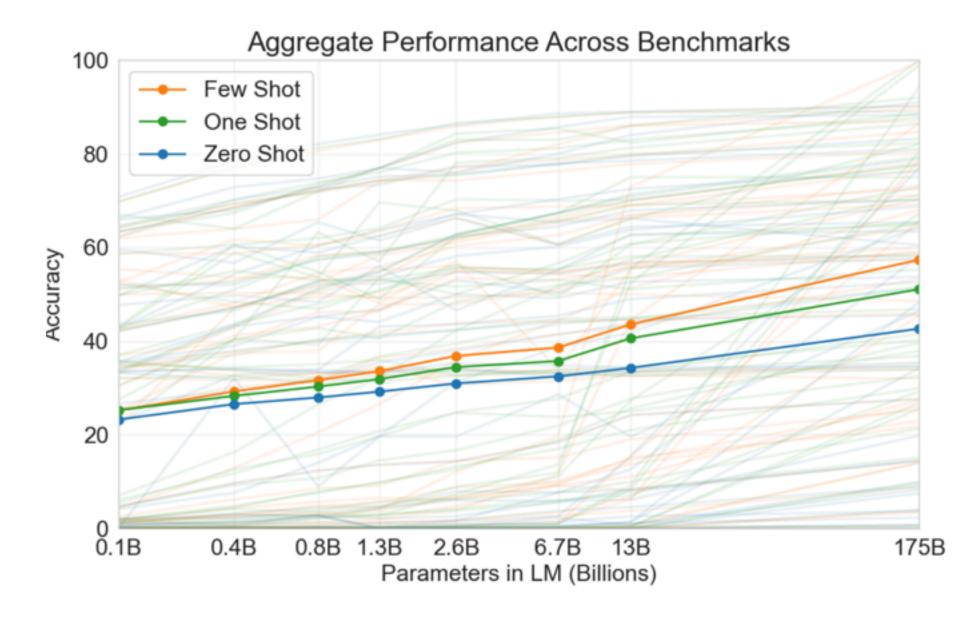
Subtitle: Those who oppose gay marriage will form their own denomination

Article: After two days of intense debate, the United Methodist Church has agreed to a historic split - one that is expected to end in the creation of a new denomination, one that will be "theologically and socially conservative," according to The Washington Post. The majority of delegates attending the church's annual General Conference in May voted to strengthen a ban on the ordination of LGBTQ clergy and to write new rules that will "discipline" clergy who officiate at same-sex weddings. But those who opposed these measures have a new plan: They say they will form a separate denomination by 2020 calling their church the Christian Methodist

### General Notes & Takeaways

The results are extremely impressive.

#### The model is far from perfect.



#### The model fails in unintuitive ways.

Q: How many eyes does a giraffe have?

A: A giraffe has two eyes.

Q: How many eyes does my foot have?

A: Your foot has two eyes.

Q: How many eyes does a spider have?

A: A spider has eight eyes.

Q: How many eyes does the sun have?

A: The sun has one eye.

Source: https://lacker.io/ai/2020/07/06/giving-gpt-3-a-turing-test.html

The choice of  $\mathcal{D}_i^{tr}$  at test time is important. ("prompting")

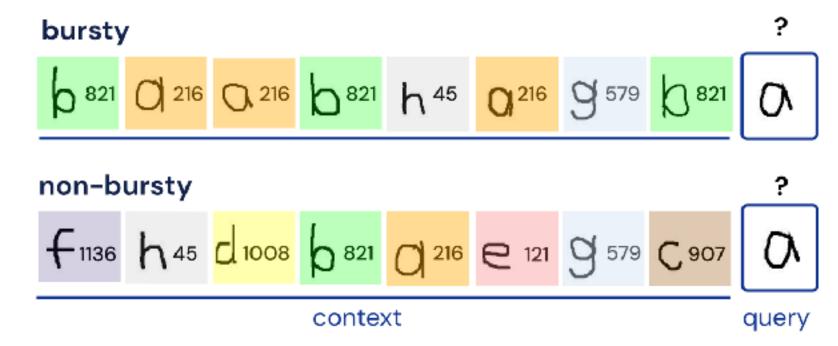
Source: https://github.com/shreyashankar/gpt3-sandbox/blob/master/docs/priming.md

### What is needed for few-shot learning to emerge?

An active research topic!

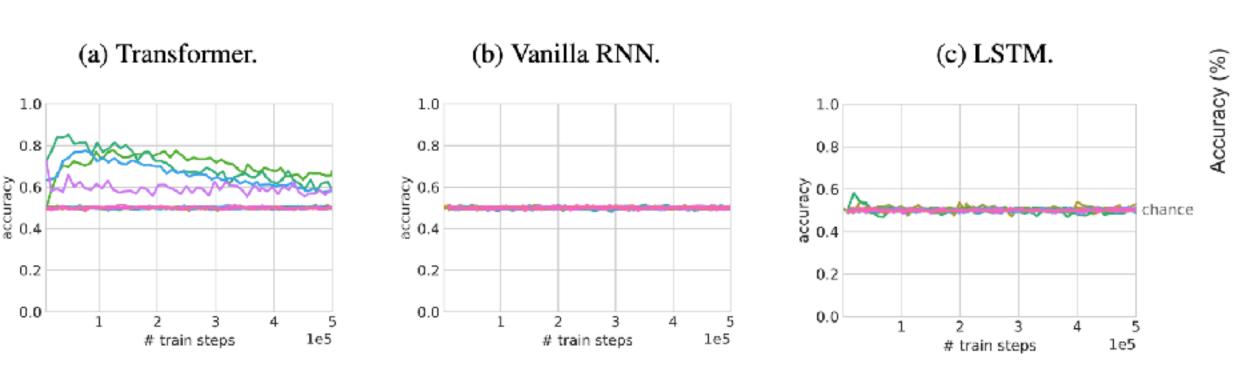
Data:

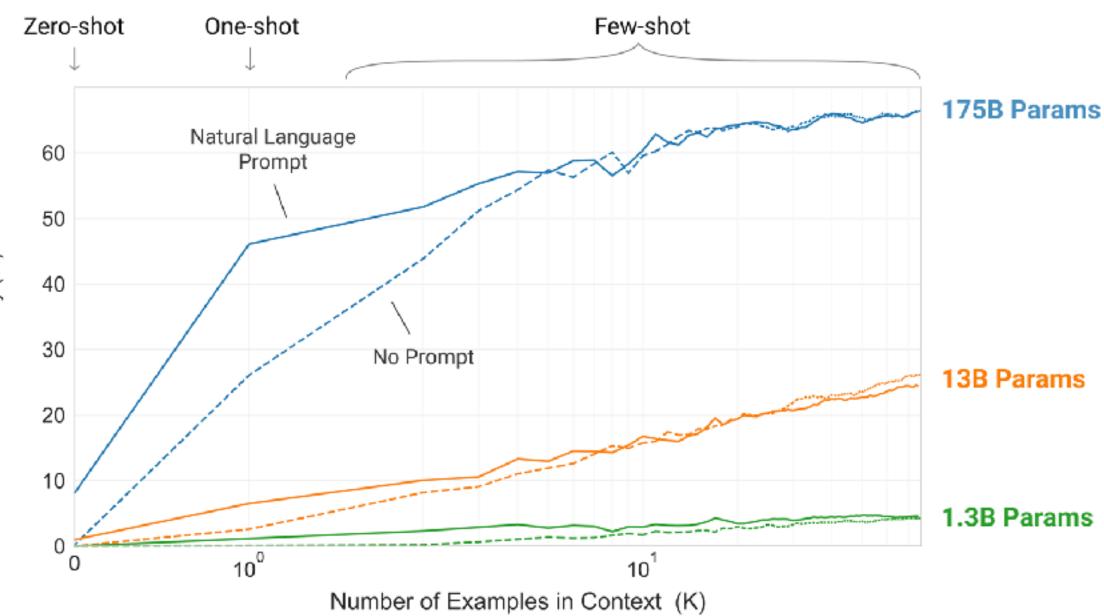
- temporal correlation
- dynamic meaning of words



Model:

large capacity modelstransformers > RNNslarge models > small models





Chan, Santoro, Lampinen, Wang, Singh, Richemond, McClelland, Hill. Data Distributional Properties Drive Emergent In-Context Learning in Transformers. '22 Brown\*, Mann\*, Ryder\*, Subbiah\* et al. Language Models are Few-Shot Learners. '20

### Meta-Learning

- Problem formulation
- General recipe of meta-learning algorithms
- Black-box adaptation approaches
- Case study of GPT-3 (time-permitting)

Topic of Homework 1!

#### Goals for by the end of lecture:

- Training set-up for few-shot meta-learning algorithms
- How to implement black-box meta-learning techniques

### Reminders

Project group form due Wednesday Oct 11 (Used for assigning TA mentors)

Homework 1 due Monday October 16

Next time: Optimization-based meta-learning